

# Ricci flow with bounded curvature integrals and Decompositions of the space of Riemannian metrics on a compact manifold with boundary

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## Abstract

In this thesis, we treat two themes on differential geometry.

In the Part I, we study the Ricci flow on a closed manifold and finite time interval  $[0, T)$  ( $T < \infty$ ) on which certain integral curvature energies are finite. A Ricci flow on a manifold  $M$  is given by a smooth family  $g(t)$  ( $t \in [0, T)$ ), of Riemannian metrics satisfying the evolution equation

$$\partial_t g(t) = -2 \operatorname{Ric}_{g(t)}.$$

The Ricci flow equation was introduced by Hamilton [4] in 1982. In the same paper, he stated the existence and uniqueness of the Ricci flow on a closed manifold. Moreover, he proved that a Ricci flow on a closed manifold develops a singularity at a finite time  $T$  (i.e.,  $T$  is the maximal existence time of the flow) if and only if the maximum of the norm of the Riemannian curvature tensor blows up at  $T$ . On the other hand, Wang [7] characterized the maximal existence time of the flow by certain geometric energies which consist of integral bounds rather than point-wise ones. Later, Di Matteo [3] generalized Wang's results using mixed integral norms which are parametrized by  $\alpha, \beta \in (1, \infty)$  with  $\alpha \geq \frac{n}{2} \frac{\beta}{\beta-1}$ .

**Theorem 0.1** ([3, Theorem 1.2]). *Let  $(M^n, g(t))_{t \in [0, T)}$  ( $T < +\infty$ ) be a smooth Ricci flow such that  $(M, g(t))$  is complete and has bounded curvature for every  $t$  in  $[0, T)$ . Assume that the initial time-slice  $(M, g(0))$  satisfies  $\operatorname{inj}(M, g(0)) > 0$  where  $\operatorname{inj}(M, g(0))$  denotes the injectivity radius of  $(M, g(0))$ . Assume also that*

$$\left\| \left\| \operatorname{Rm}(\cdot, t) \right\|_{L^\alpha(M)} \right\|_{L^\beta([0, T))} < +\infty$$

for some pair  $(\alpha, \beta) \in (1, +\infty) \times (1, +\infty) \subset \mathbb{R}^2$  with

$$\alpha \geq \frac{n}{2} \frac{\beta}{\beta-1}.$$

Then this flow can be extended smoothly over  $T$ .

**Theorem 0.2** ([3, Theorem 1.3]). *Let  $(M^n, g(t))_{t \in [0, T)}$  ( $T < +\infty$ ) be a smooth Ricci flow such that  $(M, g(t))$  is complete and has bounded curvature for every  $t$  in  $[0, T)$ . Assume that the initial time-slice  $(M, g(0))$  satisfies  $\operatorname{inj}(M, g(0)) > 0$ . Assume also that the following conditions hold:*

$$(1) \operatorname{Ric}(x, t) \geq -A \cdot g(x, t) \quad \text{for all } (x, t) \in M \times [0, T),$$

$$(2) \left\| \left\| R(\cdot, t) \right\|_{L^\alpha(M)} \right\|_{L^\beta([0, T))} < +\infty$$

for some  $A \in \mathbb{R}$  and pair  $(\alpha, \beta) \in (1, +\infty) \times (1, +\infty) \subset \mathbb{R}^2$  with

$$\alpha \geq \frac{n}{2} \frac{\beta}{\beta-1}.$$

Then this flow can be extended smoothly over  $T$ .

In the Part I, we study the case that  $(\alpha, \beta) = (n/2, \infty)$  and  $(\infty, 1)$ . Under some stronger assumptions, we prove that in dimension four, such flow converges to a smooth Riemannian manifold except for finitely many orbifold singularities.

**Main Theorem 1** (cf. [1, Corollary 1.11]). *Let  $(M^4, g(t))_{t \in [0, T]}$  ( $T < \infty$ ) be a 4-dimensional closed (i.e.,  $M$  is smooth compact and connected manifold without boundary) Ricci flow satisfying*

$$(*) \quad \left\| \sup_M |R_{g(t)}| \right\|_{L^1([0, T])} \leq C < +\infty$$

for some positive constant  $C$ , where  $R_{g(t)}$  denotes the scalar curvature of  $g(t)$ . Then there exists a positive constant  $\varepsilon = \varepsilon(M, g(0), T)$  such that the following holds :  $(*)_{p_0, \varepsilon}$  For fixed  $p_0 > 2$ , assume that there exists  $r > 0$  such that

$$\sup_{t \in [0, T]} \|R_{g(t)}\|_{L^{p_0}(B(x, r, t))} \leq \varepsilon$$

for all  $x \in M$ , where  $B(x, r, t)$  denotes the geodesic open ball centered at  $x$  of radius  $r$  with respect to  $g(t)$ . Then  $(M, g(t))$  converges to an orbifold in the smooth Cheeger-Gromov sense. More specifically, we can find a decomposition  $M = M^{\text{reg}} \cup M^{\text{sing}}$  with the following properties:

- (1)  $M^{\text{reg}}$  is open and connected in  $M$ ,
- (2)  $M^{\text{sing}}$  is a zero set with respect to the Riemannian volume measure  $d\text{vol}_{g(t)}$  for all  $t \in [0, T]$ ,
- (3)  $g(t)$  smoothly converges to a Riemannian metric  $g_T$  on  $M^{\text{reg}}$  as  $t \rightarrow T$ .
- (4)  $(M^{\text{reg}}, g_T)$  can be compactified to a metric space  $(\bar{M}^{\text{reg}}, \bar{d})$  by adding finitely many points and the differentiable structure on  $M^{\text{reg}}$  can be extended to a smooth orbifold structure on  $\bar{M}^{\text{reg}}$  such that the orbifold singularities are of cone type,
- (5) Around every orbifold singularity of  $(\bar{M}^{\text{reg}}, \bar{d})$  the metric  $g_T$  satisfies

$$|\nabla^m \text{Rm}| = o(\rho^{-2-m}) \text{ and } |\nabla^m \text{Ric}| = O(\rho^{-1-m-\frac{2}{p_0}}) \text{ as } \rho \rightarrow 0, \text{ for all } m \geq 0$$

where  $\rho$  denotes the distance to the singularity. Furthermore, for every  $\varepsilon > 0$  we can find a smooth orbifold metric  $\bar{g}_\varepsilon$  on  $\bar{M}^{\text{reg}}$  such that the following holds:

$$\|g_T - \bar{g}_\varepsilon\|_{C^0(M^{\text{reg}}, \bar{g}_\varepsilon)} + \|g_T - \bar{g}_\varepsilon\|_{W^{2,2}(M^{\text{reg}}, \bar{g}_\varepsilon)} < \varepsilon.$$

Here, the  $C^0$  and  $W^{2,2}$ -norms are taken with respect to  $\bar{g}_\varepsilon$ .

We also show that in higher dimensions, the same assertions hold for a closed Ricci flow satisfying another conditions of integral curvature bounds.

**Main Theorem 2** (cf. [8, Theorem A]). *Let  $(M^n, g(t))_{t \in [0, T]}$  ( $T < \infty$ ) be a  $n$ -dimensional ( $n \geq 5$ ) closed Ricci flow satisfying  $(*)$  in Main Theorem 1. Then there exists a positive constant  $\varepsilon = \varepsilon(M, g(0), n, T)$  such that the following holds : Suppose that*

$$\sup_{t \in [0, T]} \|\text{Rm}_{g(t)}\|_{L^{n/2}(M)} < +\infty$$

and  $(*)_{p_0, \varepsilon}$  for some  $p_0 > n/2$  in Main Theorem 1 holds. Then the assertions (1)-(5) in Main Theorem 1 hold.

Moreover, we show that such flows can be extended over  $T$  by an orbifold Ricci flow.

In the Part II, for a compact manifold  $M$  with non-empty boundary  $\partial M$ , we give a Koiso-type decomposition theorem, as well as an Ebin-type slice theorem, for the space of all Riemannian metrics on  $M$  endowed with a fixed conformal class on  $\partial M$ . In the case that  $\partial M = \emptyset$ , Ebin [2] particularly has proved a slice theorem for the pullback action of the diffeomorphism group on the space  $\mathcal{M}$ , of all Riemannian metrics on  $M$ . In [5], Koiso has extended it to an Inverse Limit Hilbert (ILH for brevity)-version. Moreover, he has also studied the conformal action on  $\mathcal{M}$ , and consequently has proved the following decomposition theorem for  $\mathcal{M}$ .

**Theorem 0.3** (Koiso's decomposition theorem [6, Corollary 2.9]). *Let  $M^n$  be a closed  $n$ -manifold ( $n \geq 3$ ),  $\mathcal{M}$  the space of all Riemannian metrics on  $M$  and  $\text{Diff}(M)$  the diffeomorphism group of  $M$ . Set also*

$$C_+^\infty(M) := \{f \in C^\infty(M) \mid f > 0 \text{ on } M\},$$

$$\check{\mathfrak{S}} := \left\{ g \in \mathcal{M} \mid \text{Vol}(M, g) = 1, R_g = \text{const}, \frac{R_g}{n-1} \notin \text{Spec}(-\Delta_g) \right\},$$

where  $\text{Vol}(M, g)$ ,  $R_g$  and  $\text{Spec}(-\Delta_g)$  denote respectively the volume of  $(M, g)$ , the scalar curvature of  $g$  and the set of all non-zero eigenvalues of the (non-negative) Laplacian  $-\Delta_g$  of  $g$ . Note that these four spaces become naturally ILH-manifolds. For any  $g = f\bar{g}$  ( $f \in C_+^\infty$ ,  $\bar{g} \in \check{\mathfrak{S}}$ ) and any smooth deformation  $\{g(t)\}_{t \in (-\varepsilon, \varepsilon)}$  of  $g$  for sufficiently small  $\varepsilon > 0$ , then there exist uniquely smooth deformations  $\{f(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset C_+^\infty(M))$  of  $f$ ,  $\{\phi(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset \text{Diff}(M))$  of the identity  $id_M$  and  $\{\bar{g}(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset \check{\mathfrak{S}})$  of  $\bar{g}$  with  $\delta_g(\bar{g}'(0)) = 0$  such that

$$g(t) = f(t)\phi(t)^*\bar{g}(t).$$

Here,  $\delta_g(\bar{g}'(0))$  denotes the divergence  $-\nabla_g^i(\bar{g}'(0))_i$  with respect to  $g$ .

We generalize these results to the case that  $\partial M \neq \emptyset$  with some suitable boundary conditions.

**Main Theorem 3.** *For any  $g = f\bar{g}$  ( $f \in C_+^\infty(M)_N$ ,  $\bar{g} \in \check{\mathfrak{S}}_{C_0^1}$ ) and any smooth deformation  $\{g(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset \mathcal{M}_{C_0^1})$  of  $g$  for sufficiently small  $\varepsilon > 0$ , there exist smooth deformations  $\{f(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset C_+^\infty(M)_N)$  of  $f$ ,  $\{\phi(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset \text{Diff}_{C_0})$  of  $id_M$  and  $\{\bar{g}(t)\}_{t \in (-\varepsilon, \varepsilon)} (\subset \check{\mathfrak{S}}_{C_0^1})$  of  $\bar{g}$  with  $\delta_g(\bar{g}'(0)) = 0$  such that*

$$g(t) = f(t)\phi(t)^*\bar{g}(t).$$

The spaces in Main Theorem 3 are defined as follows, respectively.

Fix a Riemannian metric  $g_0$  on  $M$  with  $H_{g_0} = 0$  along  $\partial M$  and set its conformal class  $C := [g_0]$  on  $M$ .  $\nu_{g_0}$  denotes the outer unit normal vector field along  $\partial M$  with respect to  $g_0$ . When two metrics  $g$  and  $\tilde{g}$  on  $M$  have the same 1-jets  $j_x^1 g = j_x^1 \tilde{g}$  for all  $x \in \partial M$ , we write it as  $j_{\partial M}^1 g = j_{\partial M}^1 \tilde{g}$ . Set also

$$C_+^\infty(M)_N := \{f \in C_+^\infty(M) \mid \nu_{g_0}(f)|_{\partial M} = 0\},$$

$$\mathcal{M}_{C_0} := \{g \in \mathcal{M} \mid g = fg_0 \text{ on } \partial M \text{ for some } f \in C_+^\infty(M), H_g = 0 \text{ on } \partial M\},$$

$$\mathcal{M}_{C_0^1} := \{g \in \mathcal{M} \mid j_{\partial M}^1 g = j_{\partial M}^1 (fg_0) \text{ for some } f \in C_+^\infty(M)_N\},$$

$$\check{\mathfrak{S}}_{C_0^{(1)}} := \{g \in \mathcal{M}_{C_0^{(1)}} \mid \text{Vol}(M, g) = 1, R_g = \text{const}\},$$

$$\check{\mathfrak{S}}_{C_0^{(1)}} := \left\{ g \in \mathfrak{S}_{C_0^{(1)}} \mid \frac{R_g}{n-1} \notin \text{Spec}(-\Delta_g; \text{Neumann}) \right\},$$

$$\text{Diff}_{C_0} := \{ \phi \in \text{Diff}(M) \mid j_{\partial M}^1(\phi^* g_0) = j_{\partial M}^1(f g_0) \text{ on } \partial M \text{ for some } f \in C_+^\infty(M)_N \},$$

where  $\text{Spec}(-\Delta_g; \text{Neumann})$  denotes the set of all non-zero eigenvalues of  $-\Delta_g$  with the Neumann boundary condition. As a corollary, we give a characterization of relative Einstein metrics. Moreover, we also give the following sufficient condition for a positive constant scalar curvature metric on a manifold with boundary to be a relative Yamabe metric, which is a natural relative version of the classical Yamabe metric.

**Theorem 0.4.** *Let  $g$  be a relative Yamabe metric on a compact connected smooth manifold  $M$  of dimension  $n \geq 3$  with non-empty smooth boundary  $\partial M$  with  $R_g > 0$  on  $M$ . Assume that  $h$  is a relative metric on  $M$  with constant scalar curvature and that  $\varphi$  is a diffeomorphism of  $M$  such that  $dv_{\varphi^* h} = \gamma dv_g$  for some positive constant  $\gamma$ . If*

$$R_h h \leq R_g g, \quad (1)$$

then  $h$  is also a relative Yamabe metric. Moreover, if

$$R_h h < R_g g, \quad (2)$$

then  $h$  is a unique relative Yamabe metric (up to positive constant) in the relative conformal class  $[h]_0$  of  $h$ . Here,  $[h]_0 := \{g \in [h] \mid H_g = 0 \text{ on } \partial M\} = \{u^{\frac{4}{n-2}} \cdot h \mid u \in C_+^\infty(M), \nu_h(u) = 0 \text{ on } \partial M\}$ , where  $\nu_h$  denotes the inward unit normal vector field of  $\partial M$  with respect to  $h$  on  $M$ .

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